

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box --> |+|

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

09/662.858 Application Number TRANSMITTAL Filing Date September 13, 2000 RECEIVED **FORM First Named Inventor** Williams, Scott L. SEP 2 1 2001 be used for all correspondence after initial filing) **Group Art Unit** 2176 Unassigned **Examiner Name** Technology Center 2100 Total Number of Pages in This Submission Attorney Docket Number 019852-000110US ENCLOSURES (check all that apply) After Allowance Communication to **Assignment Papers** Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Communication in Response to Cover Terminal Disclaimer Letter for Correction of Previous Notice; Express Abandonment Request Request for Refund Copy of Cover Letter for Correction of Previous Notice; Copy of Filing Receipt; Information Disclosure Statement CD, Number of CD(s) Return Postcard The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts unider 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Sujit B. Kotwal Reg No. 43,336 Individual name 5.B. Kotwal Signature Date Septenmber 5, 2001 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date. September 5, 2001 Typed or printed name Signature Date September 5, 2001

Burden Hour Statement: This form is stimated to take &2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3168333 v1



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 019852-000110US

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

on September 5, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: Kusla Winnae

RECEIVED

SEP 21 2001

**Technology Center 2100** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SCOTT L. WILLIAMS et al.

Application No.: 09/662,858

Filed: September 13, 2000

For:

TECHNIQUES FOR MONITORING USER

ACTIVITIES AT A WEB SITE AND FOR INITIATING AN ACTION WHEN THE USER EXITS FROM THE WEB SITE Examiner:

Unassigned

Art Unit:

2176

COMMUNICATION IN RESPONSE TO COVER LETTER FOR CORRECTION

OF PREVIOUS NOTICE

Assistant Commissioner for Patents Office of Initial Patent Examiner Customer Service Center Washington, D.C. 20231

Sir:

This Communication is being filed in response to the "Cover Letter for Correction of Previous Notice" (hereinafter "Cover Letter") dated August 6, 2001. The correction to the Filing Date has now been corrected as requested. The Cover Letter seems to indicate that a reply is required from the Applicants. However, Applicants believe that no further response from Applicant is needed at this point in time. Please let us know if any reply is needed.

SCOTT L. WILLIAMS et al. Application No. 09/662,858 Page 2

A copy of the Cover Letter for Correction of Previous Notice and a copy of the Filing Receipt are enclosed. The enclosed Filing Receipt was generated in response to our Request for Corrected Filing Receipt and accompanying documents as filed on December 13, 2000 for the above-referenced application.

Respectfully submitted,

S. B. Kotubl

Sujit B. Kotwal Reg. No. 43,336

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834 Tel: (650) 326-2400 /Fax: (650) 326-2422 SBK/km / PA 3168317 v1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER FILING DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

09/662,858

09/13/2000

Scott L. Williams

19852-000110US

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834



CONFIRMATION NO. 2122
CORRECTION COVER LETTER
CC0000000006389124

Date Mailed: 08/06/2001

## **COVER LETTER FOR CORRECTION OF PREVIOUS NOTICE**

The Notice mailed on 11/08/2000 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the corrected Notice. We apologize for any inconvenience this caused.

RECEIVED

SEP 2 1 2001

**Technology Center 2100** 

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE

Washington, D.C. 20231 www.usplo.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS

09/662,858

09/13/2000

2176

690

19852-000110US

11

41

7

**CONFIRMATION NO. 2122** 

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER

EIGHTH FLOOR

SAN FRANCISCO, CA 94111-3834



FILING RECEIPT

\*OC0000006389127\*

Date Mailed: 08/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Scott L. Williams, San Francisco, CA; John D. Chisholm, Menlo Park, CA; COPY

RECEIVED

SEP 2 1 2001

Technology Center 2100

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/154,257 09/16/1999

**Foreign Applications** 

If Required, Foreign Filing License Granted 10/31/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title** 

Techniques for monitoring user activities at a web site and for initiating an action when the user exits from the web site

**Preliminary Class** 

Data entry by : KINARD, VALERIE

Team : OIPE

Date: 08/06/2001





# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

RECEIVED

SEP 2 1 2001

Technology Center 2100

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

### PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231